

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Tissue Punch  
Serial No.: Not yet assigned                      Filing Date: October 31, 2003  
Examiner: Not yet assigned                      Group Art Unit: Not yet assigned  
Docket No.: 147

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and of explanations of relevance of non-English-language references that have been submitted in a parent application are not included herewith, because this application is a continuation under 37 CFR 1.53(b) of U.S. Patent Application Serial No. 09/542,976.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,



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Attorney for Applicant(s)  
Reg. No. 45,076  
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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 147	<b>SERIAL NO.</b> N/A
<b>APPLICANT:</b> Vargas, Jaime et al.		
<b>FILING DATE:</b> 10/31/03		<b>GROUP:</b> N/A

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		2,370,776	3/1/1945	CARLSON			
		3,254,650	6/7/1966	COLLITO			
		3,254,651	6/7/1966	COLLITO			
		3,519,187	7/7/1970	KAPITANOV, ET AL			
		3,774,615	11/27/1973	LIM, ET AL			
		4,118,806	10/10/1978	PORIER ET AL			
		4,214,587	7/29/1980	SAKURA, JR			
		4,217,664	8/19/1980	FASO			
		4,350,160	9/21/1982	KOLESOV, ET AL			
		4,352,358	10/5/1982	ANGELCHIK			
		4,366,819	1/4/1983	KASTER			
		4,368,736	1/18/1983	KASTER			
		4,503,568	3/12/1985	MADRAS			
		4,523,529	6/18/1985	DANIEL			
		4,534,761	8/13/1985	RAIBLE			
		4,553,542	11/19/1985	SCHENCK, ET AL			
		4,577,631	3/25/1986	KREAMER			
		4,589,416	5/20/1986	GREEN			
		4,593,693	6/10/1986	SCHENCK			
		4,603,693	8/5/1986	CONTA, ET AL.			
		4,607,637	8/26/1986	BERGGREN, ET AL			
		4,624,255	11/25/1986	SCHENCK, ET AL			
		4,624,257	11/25/1986	BERGGREN, ET AL			
		4,657,019	4/14/1987	WALSH, ET AL			
		4,665,906	5/19/1987	JERVIS			
		4,721,109	1/26/1988	HEALEY			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
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EXAMINER INITIAL			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
			4,747,407	5/31/1988	LIU, ET AL			
			4,752,024	6/21/1988	GREEN, ET AL.			
			4,773,420	9/27/1988	GREEN			
			4,861,330	8/29/1989	VOSS			
			4,875,815	10/1/1989	PHILLIPS II			
			4,883,453	11/28/1989	BERRY, ET AL.			
			4,892,098	1/9/1990	SAUER			
			4,907,591	3/13/1990	VASCONCELLOS, ET AL			
			4,917,087	4/17/1990	WALSH, ET AL			
			4,917,090	4/17/1990	BERGGREN, ET AL			
			4,917,091	4/17/1990	BERGGREN, ET AL			
			4,930,674	6/5/1990	BARAK			
			5,005,749	4/9/1991	ARANYI			
			5,015,238	5/14/1991	SOLOMON ET AL			
			5,062,842	11/5/1991	TIFFANY			
			5,089,006	2/18/1992	STILES			
			5,100,423	3/1/1992	FEARNOT, NEAL E.			
			5,104,025	4/14/1992	MAIN, ET AL.			
			5,119,983	6/9/1992	GREEN, ET AL			
			5,129,913	7/14/1992	RUPPERT			
			5,156,613	10/20/1992	SAWYER			
			5,156,619	10/20/1992	EHRENFELD			
			5,171,262	12/15/1992	MACGREGOR			
			5,178,634	1/12/1993	RAMOS MARTINEZ			
			5,187,796	2/16/1993	WANG, ET AL.			
			5,192,289	3/9/1993	JESSEN			

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		5,193,731	3/16/1993	ARANYI			
		5,205,459	4/27/1993	BRINKERHOFF, ET AL.			
		5,211,683	5/18/1993	MAGINOT			
		5,217,474	6/8/1993	ZACCA, ET AL			
		5,221,281	6/22/1993	KLICEK			
		5,222,963	6/29/1993	BRINKERHOFF, ET AL.			
		5,234,447	8/10/1993	KASTER, ET AL			
		5,250,058	10/5/1993	MILLER, ET AL.			
		5,250,060	10/5/1993	CARBO, ET AL			
		5,271,544	12/21/1993	FOX, ET AL.			
		5,275,322	1/4/1994	BRINKERHOFF, ET AL.			
		5,285,945	2/15/1994	BRINKERHOFF, ET. AL.			
		5,290,298	3/1/1994	REBUFFAT, ET AL.			
		5,292,053	3/8/1994	BILOTTI, ET AL.			
		5,304,220	4/19/1994	MAGINOT			
		5,314,435	5/24/1994	GREEN, ET AL.			
		5,314,468	5/24/1994	MARTINEZ			
		5,326,205	7/1/1994	ANSPACH, JR ET AL.			
		5,333,773	8/2/1994	MAIN, ET AL.			
		5,336,233	8/9/1994	CHEN			
		5,350,104	9/27/1994	MAIN, ET AL.			
		5,354,302	10/11/1994	KO			
		5,364,389	11/15/1994	ANDERSON			
		5,366,462	11/22/1994	KASTER, ET AL			
		5,392,979	2/28/1995	GREEN, ET AL.			
		5,395,030	3/7/1995	KURAMOTO, ET AL.			

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		5,395,311	3/7/1995	ANDREWS			
		5,401,131	3/1/1995	YOSHINO			
		5,443,497	8/22/1995	VENBRUX			
		5,447,514	9/5/1995	GERRY, ET AL.			
		5,454,825	10/3/1995	VAN LEEUWEN			
		5,456,712	10/10/1995	MAGINOT			
		5,456,714	10/10/1995	OWEN			
		5,464,449	11/7/1995	RYAN, ET AL			
		5,465,895	11/14/1995	KNODEL, ET AL.			
		5,478,354	12/26/1995	TOVEY			
		5,503,635	4/2/1996	SAUER, ET AL.			
		5,515,478	5/7/1996	WANG			
		5,522,834	6/4/1996	FONGER, ET AL			
		5,524,180	6/4/1996	WANG, ET AL			
		5,533,661	7/9/1996	MAIN, ET AL.			
		5,540,677	7/30/1996	SINOFISKY			
		5,553,198	9/3/1996	WANG, ET AL.			
		5,556,405	9/17/1996	LARY			
		5,558,667	9/24/1996	YARBOROUGH, ET AL			
		5,571,167	11/5/1996	MAGINOT			
		5,603,721	2/1/1997	LAU ET AL			
		5,643,340	7/1/1997	NUNOKAWA			
		5,645,520	7/8/1997	NAKAMURA, ET AL.			
		5,657,429	8/12/1997	WANG, ET AL.			
		5,667,513	9/1/1997	TORRIE, ET AL			
		5,667,520	9/1/1997	BONUTTI, PETER M.			

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		5,669,918	9/23/1997	BALAZS, ET AL			
		5,669,934	9/23/1997	SAWYER			
		5,676,670	10/14/1997	KIM			
		5,693,088	12/2/1997	LAZARUS			
		5,695,504	12/9/1997	GIFFORD III, ET AL			
		5,702,412	12/30/1997	POPOV, ET AL			
		5,707,362	1/13/1998	YOON			
		5,707,380	1/13/1998	HINCHLIFFE, ET AL			
		5,709,335	1/20/1998	HECK			
		5,709,693	1/20/1998	TAYLOR			
		5,725,544	3/10/1998	RYGAARD			
		5,725,553	3/10/1998	MOENNING			
		5,732,872	3/31/1998	BOLDUC ET AL			
		5,754,741	5/19/1998	WANG, ET AL.			
		5,755,778	5/1/1998	KLESHINSKI			
		5,762,458	6/9/1998	WANG, ET AL.			
		5,779,718	7/14/1998	GREEN, ET AL.			
		5,792,135	8/11/1998	MADHANI, ET AL.			
		5,797,900	8/25/1998	MADHANI, ET AL.			
		5,797,920	8/25/1998	KIM			
		5,799,661	9/1/1998	BOYD, ET AL.			
		5,799,857	9/1/1998	ROBERTSON, ET AL.			
		5,807,377	9/15/1998	MADHANI, ET AL.			
		5,814,073	9/29/1998	BONUTTI			
		5,815,640	9/29/1998	WANG, ET AL.			
		5,817,113	10/6/1998	GIFFORD III, ET AL			

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		5,827,316	10/27/1998	YOUNG, ET AL.			
		5,833,698	11/10/1998	HINCHLIFFE, ET AL.			
		5,833,698	11/1/1998	HINCHLIFFE, ET AL.			
		5,841,950	11/24/1998	WANG, ET AL.			
		5,855,583	1/5/1999	WANG, ET AL.			
		5,868,761	2/1/1999	NICHOLAS, ET AL.			
		5,868,763	2/9/1999	SPENCE, ET AL.			
		5,875,782	3/2/1999	FERRARI, ET AL.			
		5,878,193	3/2/1999	WANG, ET AL.			
		5,879,371	3/9/1999	GARDINER, ET AL.			
		5,881,943	3/16/1999	HECK ET AL			
		5,893,369	4/1/1999	LEMOLE			
		5,904,697	5/18/1999	GIFFORD III, ET AL			
		5,907,664	5/25/1999	WANG, ET AL.			
		5,911,036	6/8/1999	WRIGHT, ET AL.			
		5,915,616	6/29/1999	VIOLA, ET AL.			
		5,921,995	7/13/1999	KLESHINSKI			
		5,944,730	8/31/1999	NOBLES ET AL			
		5,947,363	9/7/1999	BOLDUC ET AL			
		5,957,363	9/28/1999	HECK			
		5,968,089	10/19/1999	KRAJICEK			
		5,976,159	11/2/1999	BOLDUC ET AL			
		6,001,124	12/14/1999	BACHINSKI			
		6,007,544	12/28/1999	KIM			
		6,013,190	1/11/2000	BERG, ET AL.			
		6,015,416	1/18/2000	STEFANCHIK, ET AL.			

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		6,022,367	2/1/2000	SHERTS			
		6,024,748	2/15/2000	MANZO, ET AL.			
		6,030,370	2/29/2000	KUPKA, ET AL.			
		6,030,395	2/29/2000	NASH, ET AL.			
		6,036,699	3/14/2000	ANDREAS, ET AL.			
		6,036,700	3/14/2000	STEFANCHIK, ET AL.			
		6,036,702	3/14/2000	BACHINKSKI, ET AL.			
		6,036,703	3/14/2000	EVANS, ET AL.			
		6,036,704	3/14/2000	YOON			
		6,036,705	3/14/2000	NASH, ET AL.			
		6,050,472	4/18/2000	SHIBATA			
		6,053,390	4/25/2000	GREEN, ET AL.			
		6,056,762	5/2/2000	NASH, ET AL.			
		6,066,144	5/23/2000	WOLF, ET AL.			
		6,066,148	5/23/2000	RYGAARD			
		6,068,637	5/30/2000	POPOV, ET AL.			
		6,074,416	6/13/2000	BERG, ET AL.			
		6,080,167	6/27/2000	LYELL			
		6,080,173	6/27/2000	WILLIAMSON, IV, ET AL.			
		6,083,234	7/4/2000	NICHOLAS, ET AL.			
		6,110,188	8/29/2000	NARCISO, JR			
		6,113,612	9/5/2000	SWANSON, ET AL			
		6,117,148	9/12/2000	RAVO, ET AL.			
		6,120,432	9/19/2000	SULLIVAN, ET AL.			
		6,132,439	10/1/2000	KONTOS, STAVROS			
		6,146,393	11/14/2000	WAKABAYASHI			

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		6,149,681	11/21/2000	HOUSER, ET AL.			
		6,152,937	11/28/2000	PETERSON, ET AL			
		6,152,945	11/28/2000	BACHINSKI, ET AL.			
		6,165,185	12/26/2000	SHENNIB ET AL			
		6,167,889	1/2/2001	BENETTI			
		6,171,319	1/9/2001	NOBLES, ET AL.			
		6,171,321	1/9/2001	GIFFORD, III ET AL.			
		6,176,413	1/23/2001	HECK, ET AL.			
		6,176,864	1/23/2001	CHAPMAN			
		6,186,942	2/13/2001	SULLIVAN, ET AL.			
		6,187,019	2/13/2001	STEFANCHIK, ET AL.			
		6,187,020	2/13/2001	ZEGDI, ET AL.			
		6,190,396	2/20/2001	WHITIN, ET AL.			
		6,190,397	2/20/2001	SPENCE, ET AL.			
		6,190,590	2/20/2001	RANDALL, ET AL.			
		6,193,129	2/27/2001	BITTNER, ET AL.			
		6,193,734	2/27/2001	BOLDUC, ET AL.			
		6,206,912	3/27/2001	GOLDSTEEN, ET AL.			
		6,206,913	3/27/2001	YENCHO, ET AL.			
		6,235,054	5/22/2001	BERG, ET AL.			
		6,371,964	4/1/2002	VARGAS, ET AL.			
		6,402,764	6/1/2002	HENDRICKSON, ET AL.			
		6,428,550	8/1/2002	VARGAS, ET AL.			
		2001/0047180A1	11/1/2001	GRUDEM ET AL.			
		2002/0058955A1	12/1/2001	BLATTER ET AL.			

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		2316910	4/2/77	FRANCE			
		19732234	1/28/99	GERMANY			
		29713336	11/27/97	GERMANY			
		0 517 252	12/1/92	EUROPE			
		0 701 800	3/20/96	EUROPE			
		0 820 724	1/3/00	EUROPE			
		0 820 725	1/3/00	EUROPE			
		0 885 595	12/23/98	EUROPE			
		0 913 125	7/5/00	EUROPE			
		0 938 870	9/1/99	EUROPE			
		0 990 420	12/27/00	EUROPE			
		00/09040	2/1/00	PCT			
		00/10486	3/2/00	PCT			
		00/12013	3/9/00	PCT			
		00/15144	3/23/00	PCT			
		00/15146	3/23/00	PCT			
		00/15147	3/23/00	PCT			
		00/15148	3/23/00	PCT			
		00/15149	3/23/00	PCT			
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		00/27311	5/18/00	PCT			
		00/27312	5/18/00	PCT			
		00/27313	5/18/00	PCT			
		00/33745	6/15/00	PCT			
		00/41633	7/20/00	PCT			
		00/53104	9/14/00	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	

<b>EXAMINER:</b>  EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	<b>DATE CONSIDERED:</b>
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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 147	<b>SERIAL NO.</b> N/A
	<b>APPLICANT:</b> Vargas, Jaime et al.	
	<b>FILING DATE:</b> 10/31/03	<b>GROUP:</b> N/A

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
		00/56223	9/28/00	PCT			
		00/56226	9/28/00	PCT			
		00/56227	9/28/00	PCT			
		00/56228	9/28/00	PCT			
		00/59380	10/12/00	PCT			
		00/66007	11/9/00	PCT			
		00/66009	11/19/00	PCT			
		00/69343	11/23/00	PCT			
		00/69346	11/23/00	PCT			
		00/69349	11/23/00	PCT			
		00/69364	11/23/00	PCT			
		00/72764	12/7/00	PCT			
		00/74579	12/14/00	PCT			
		00/76405	12/21/00	PCT			
		01/08601	2/8/01	PCT			
		01/12074	2/22/01	PCT			
		01/15607	3/8/01	PCT			
		01/17440	3/15/01	PCT			
		01/19257	3/22/01	PCT			
		01/19259	3/22/01	PCT			
		01/19284	3/22/01	PCT			
		01/34037	5/17/01	PCT			
		92/08513	5/1/92	PCT			
		96/25886	8/29/96	PCT			
		97/25002	7/17/97	PCT			
		97/27898	8/1/97	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Us sev ral sh ts if nec ssary)	<b>ATTY. DOCKET NO.</b> 147	<b>SERIAL NO.</b> N/A
	<b>APPLICANT:</b> Vargas, Jaime et al.	
	<b>FILING DATE:</b> 10/31/03	<b>GROUP:</b> N/A

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
		97/31575	9/4/97	PCT			
		97/47261	12/18/97	PCT			
		98/07399	2/26/98	PCT			
		98/19608	5/14/98	PCT			
		98/19618	5/14/98	PCT			
		98/19625	5/14/98	PCT			
		98/19629	5/1/98	PCT			
		98/19630	5/1/98	PCT			
		98/19631	5/14/98	PCT			
		98/19632	5/14/98	PCT			
		98/19634	5/14/98	PCT			
		98/19636	5/14/98	PCT			
		98/30153	7/16/98	PCT			
		98/37814	9/3/98	PCT			
		98/40036	9/17/98	PCT			
		98/42262	10/1/98	PCT			
		98/47430	10/29/98	PCT			
		98/55027	12/10/98	PCT			
		99/08603	2/25/99	PCT			
		99/11178	3/11/99	PCT			
		99/17665	4/1/99	PCT			
		99/18887	4/1/99	PCT			
		99/21491	5/1/99	PCT			
		99/37218	7/29/99	PCT			
		99/38441	8/5/99	PCT			
		99/38454	8/1/99	PCT			

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## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

**APPLICANT:**

Vargas, Jaime et al.

**FILING DATE:**

10/31/03

**GROUP:**

N/A

(Use several sheets if necessary)

## FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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